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09/869388

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/869,388
	<b>Filing Date</b>	December 29, 1999
	<b>First Named Inventor</b>	Elizabeth Bates, et al.
	<b>Group Art Unit</b>	
	<b>Examiner Name</b>	
<b>Total Number of Pages in This Submission</b>	19	<b>Attorney Docket Number</b> SF0977X

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Thomas Triolo
Signature	
Date	January 28, 2002

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: January 28, 2002	
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File No. SF0977 X US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Elizabeth Bates *et al.*

Serial No.: 09/869,388

Group Art Unit: TBA

Filed: December 29, 1999

Examiner: TBA

Confirmation No.: 4303

For: MONOCYTE DERIVED NUCLEIC ACIDS AND RELATED COMPOSITIONS AND  
METHODS

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**PRELIMINARY AMENDMENT AND STATEMENT UNDER RULE 821**

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Please consider the following in response to the NOTIFICATOIN OF DEFECTIVE  
RESPONSE mailed January 3, 2002 in this Application. Also enclosed is a Substitute  
Sequence Listing in paper and computer readable format along with an Associate Power of  
Attorney.

09869388-10001  
T0600T-88569860